



33542-2011

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

App.

Linkai Bu

Application No.:

09/904,222

**COPY OF PAPERS  
ORIGINALLY FILED**

U.S. Filing Date:

July 12, 2001

Title:

**PHONETIC FEATURE BASED SPEECH RECOGNITION  
APPARATUS AND METHOD**

Group Art Unit:

2641

Examiner:

Not Yet Assigned

Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

This responds to the Notice to File Missing Parts of Nonprovisional Application dated August 28, 2001, a copy of which is enclosed.

Enclosed please find a Declaration executed by the inventors in compliance with 37 C.F.R. 1.63 in the above-identified application. This should correct the informalities and overcome the objections raised.

The Patent Office is hereby authorized to charge the surcharge fee of \$65.00 and any other fee that may be required to Deposit Account No. 02-0393.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, Box Missing Parts. Date of Deposit: February 26, 2002.

Eunhee Park

Reg. No. 42,976

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/904,222	07/12/2001	Linkai Bu	33542-2011

CONFIRMATION NO. 9676  
FORMALITIES LETTER



\*OC000000006483936\*

BAKER & MCKENZIE  
12th Floor  
101 West Broadway  
San Diego, CA 92101

Date Mailed: 08/28/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

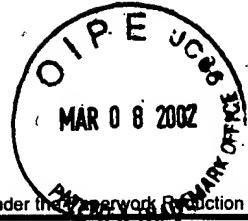
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Approved for use through 10/31/2002. OMB 0651-0031  
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PTO/SB/21 (08-00)

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

N SH-CV 14  
03CD

		Application Number	09/904,222
		Filing Date	07/12/2001
		First Named Inventor	Linkai Bu
		Group Art Unit	2641
		Examiner Name	
Total Number of Pages in This Submission	7	Attorney Docket Number	33542-2011

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<b>Declaration; return postcard;</b>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eunhee Park (Reg. No. 42,976)	
Signature		
Date	02/26/2002	

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class  
mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

February 26, 2002

Typed or printed name	Eunhee Park		
Signature		Date	02/26/2002

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